

# Subscription Form – TIN Ny Teknik Legal Person



TIN FONDER

Account holder identification	
Legal registration number:	Legal entity name:
Address:	Postal/ZIP code and city, town state or province:
Country:	Tax domicile:
Tax Identification Number (TIN) if country is other than Sweden*:	Contact name:
Email:	Telephone number:

Account details	
Bank name:	Bank address:
Bank Sort Code/SWIFT (BIC):	Bank account name:
Amount*:	

\*minimum subscription amount and currency differs between the shareclasses of the fund. Please read the Prospectus of the fund for more information at [www.tinfonder.se](http://www.tinfonder.se)

Shareclass	
TIN Ny Teknik A (SE0012193019)	TIN Ny Teknik B (SE0012193027)
TIN Ny Teknik D (SE0012193035)	TIN Ny Teknik E (SE0014262739)
TIN Ny Teknik F (SE0014262721)	TIN Ny Teknik G (Not started)

The subscription form must be received by Teknik innovation Norden Fonder AB (the “Fund Company”) no later than 14:00 CET on regular Swedish banking days or 11:00 CET on Swedish banking half-days in order for subscriptions to take place on the same day’s unit price. The subscription amount must also be deposited no later than the above specified cut-off time. A contract note will thereafter be sent as confirmation. The Fund Company does not charge any subscription fees. If a fund unit has been held for a shorter period than 30 days the fund may charge the investor a short-term trading redemption fee no greater than 2% of the redemption amount (minimum 50 SEK).

## Applicant Details

According to the Swedish Measures Against Money Laundering and Terrorism Financing Act (Sw. *lagen (2017:630) om åtgärder mot penningtvätt och finansiering av terrorism*) the Fund Company must assess the risk of being exploited for money laundering before entering into any business arrangement with a client. The Fund Company must gather certain information from a client in order to i.e. understand the purpose of the business arrangement and the client’s various transactions. With reference to the above the following questions must be answered in order for an investment can take place in the fund:

Expected number of transactions on this account:

Monthly

Several times per year

Once a year

Less often than once a year

**Expected average amount per future transaction:**

Less than 100 000 SEK    100 000 SEK up to 1 000 000 SEK    1 000 000 SEK up to 5 000 000 SEK  
More than 5 000 000 SEK

**Sources of fund for the initial investment:**

Retained earnings    Investment income    Sale of assets (please specify)  
Sale of business    Other: \_\_\_\_\_

**Investment horizon:**

Long-term (> 5 years)    Medium-term (1-5 years)    Short-term (< 1 year)

We are legally required to collect certain information about each account holder's tax arrangements. Please complete the sections below.

**Is the legal entity a financial institution?**

No

Yes, please specify GIIN (Global Intermediary Identification Number) if applicable: \_\_\_\_\_

**Is the legal entity an Exempt Beneficial Owner, for example a retirement fund according to the Swedish code of statutes (1967:531):**

No    Yes

**Is the entity an active or passive non-financial entity?** 50% or more of the entity's income from the previous fiscal year is operational income, the company is deemed to be active.

Passive non-financial entity    Active non-financial entity

Financial institution as specified above

**If the legal entity is a passive non-financial entity: Does the entity have a beneficial owner who controls more than 25% of the entity which is taxable in a country outside of Sweden:**

No    Yes

**If "Yes", please state name, address and TIN (Taxpayer Identification Number) for the person(s):**

The Fund Company retains the right to deny US Persons, people or entities acting on behalf of a US Person or legal entity taxable in the US or legal entities with beneficial owners who are US persons from opening an account. If the before mentioned become applicable to an active account the Fund Company retains the right to immediately redeem the clients units and close the account. The Fund Company is not liable for any damages that may arise in relation to such immediate redemptions.

**Beneficial Owner(s)**

According to the Swedish Measures Against Money Laundering and Terrorism Financing Act the Fund Company is obliged to verify the identity of the legal person's beneficial owner/owners\*.

**\*Beneficial owner means:** any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and includes at least: (a) in the case of corporate entities: (i) the natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information.

**Beneficial owner for foundations means:** the natural person(s) holding equivalent or similar positions to the settlor; the trustee(s); the protector, if any; the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates; any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means.

**Beneficial owner for trusts means:** the settlor; the trustee(s); the protector, if any; the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates; any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means.

**Is the legal person listed on a regulated market in Sweden or in the EEA or corresponding market outside the EEA or a subsidiary of such a company?**

No Yes

If "Yes", below questions regarding Beneficial Owners can be left blank.

Does the legal person have any owner/owners whose ownership directly or indirectly exceeds 25 % of the shares or votes of the company?

No Yes

Beneficial Owner(s)	
Name & Titel:	Personal identification number:
Share of capital/votes in %:	Registration address:
Postal/ZIP code and city, town state or province:	Country:
Name & Titel:	Personal identification number:
Share of capital/votes in %:	Registration address:
Postal/ZIP code and city, town state or province:	Country:
Name & Titel:	Personal identification number:
Share of capital/votes in %:	Registration address:
Postal/ZIP code and city, town state or province:	Country:

If "No", Please leave information regarding the natural person who has been determined by the legal person to be the legal person's beneficial owner. The Fund Management is obliged to appoint and identify a natural person who is the alternate beneficial owner of the legal person. If the legal person does not have a beneficial owner according to the above, the Fund Company will determine and identify a person to be the legal person's alternate beneficial owner. The Fund Company has adopted as a routine to consider the legal person's chairman of the board or managing director as the alternate beneficial owner.

The following person has been determined to be the legal person's alternate beneficial owner:

Alternate Beneficial Owner	
Name & Titel:	Personal identification number:
Share of capital/votes in %:	Registration address:
Postal/ZIP code and city, town state or province:	Country:

### Politically Exposed Persons (PEP)

According to the Swedish Measures Against Money Laundering and Terrorism Financing Act requirements must be met when entering into business arrangements with Politically Exposed Persons ("PEP").

Is any of the legal person's owners whose ownership directly or indirectly exceeds 25 %, alternatively such natural person who has been determined to be the company's alternate beneficial owner a PEP\*, a family member\*\* or known to be close associate\*\*\* to the beneficial owner and has been a PEP for the last 18 months?

No Yes

If "Yes", please specify:

<b>Name:</b>	<b>Personal/Legal identification number (if close family member or associate):</b>
<b>Relation (if close family member or associate):</b>	<b>Country:</b>
<b>Function:</b>	<b>Period:</b>

**\*PEP means:** a natural person who is or who has been entrusted with prominent public functions and includes the following: heads of State, heads of government, ministers and deputy or assistant ministers; members of parliament or of similar legislative bodies; members of the governing bodies of political parties; members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances; members of courts of auditors or of the boards of central banks; ambassadors, chargés d'affaires and high-ranking officers in the armed forces; members of the administrative, management or supervisory bodies of State-owned enterprises; directors, deputy directors and members of the board or equivalent function of an international organization (e.g. UN, UN accession organizations, Council of Europe NATO and WTO).

**\*\*Family member means:** the spouse, or a person considered to be equivalent to a spouse, of a politically exposed person; the children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person; the parents of a politically exposed person.

**\*\*\* Person known to be close associates means:** a natural person who are known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a politically exposed person; natural persons who have sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.

**Close business relationship means:** close business relationships that may mean that the known employee may be associated with an increased risk of money laundering or terrorist financing.

## Required Documents

- **Attested copy(ies)\* for the authorized signatory(ies).**
- **Power of attorney, original or an attested copy, and an attested copy of valid identification documents if the contact person is not an authorized signatory.**
- **Registration certificate (in English) no older than 6 months.**
- **Proof of ownership for beneficial owners who control, directly or indirectly, more than 25% of the share capital or votes in the company.**
- **If the legal person is a financial company/institute under supervision we need a registration certificate (no older than 6 months) and the latest signatory list of the company. Financial companies under supervision outside the EEA also need to attach a certification that the identification of their clients have been made according to the current legislation.**

**\*Attested copy means:** that two individual persons attests with their signature, name in print and telephone number that the copy is a true copy of the original document.

## Declaration and signature

- **We acknowledge that we have viewed, reviewed and understood the fund's prospectus, fund rules and Key Investor Information Document (KIID).**
- **We confirm that the purpose of this business arrangement is to invest in funds in accordance with the UCITS directive and the Swedish Mutual Funds Act (Sw. *lagen (2004:46) om värdepappersfonder*).**
- **We confirm that information provided herein which is of significance for taxation and the application of statutory rules regarding the obligation to disclose information is correct and undertake to notify the Fund Company without delay of changes with respect thereto, e.g. upon relocation abroad and in the event of change of name, address, or bank account number.**
- **We confirm that the Fund Company may use the information provided in this form in accordance with current legislation and may be reported to authorities including tax authorities both local and foreign if required.**
- **We confirm that we represent the client and that there is no other beneficial owner.**

Subscriptions shall be paid to the fund's account according to below:

Shareclass A, B & D (SE0012193019, SE0012193027, SE0012193035)

5851-11 155 60 (IBAN: SE80 5000 0000 0585 1111 5560, BIC/SWIFT: ESSESESS)

Shareclass E (SE0014262739)

5864-82 343 60 (IBAN: SE16 5000 0000 0586 4823 4360, BIC/SWIFT: ESSESESS)

Shareclass F (SE0014262721)

5565-82 316 63 (IBAN: SE11 5000 0000 0556 5823 1663, BIC/SWIFT: ESSESESS)

Please use the entities registration number as reference.

Please send the subscription form to [kund@tinfonder.se](mailto:kund@tinfonder.se) or to below address.

#### Declaration and signature

<b>Place:</b>	<b>Date:</b>
<b>Signature of authorized signatory:</b>	<b>Name in print:</b>
<b>Signature of authorized signatory:</b>	<b>Name in print:</b>
<b>Signature of authorized signatory:</b>	<b>Name in print:</b>

Teknik Innovation Norden Fonder AB  
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SWEDEN